



**Recipient Information**

**1. Recipient Name**

HEALTH AND SENIOR SERVICES, MISSOURI  
DEPARTMENT OF  
930 Wildwood Dr  
PO BOX 570  
Jefferson City, MO 65109-5796

**2. Congressional District of Recipient**

03

**3. Payment System Identifier (ID)**

**4. Employer Identification Number (EIN)**

**5. Data Universal Numbering System (DUNS)**

878092600

**6. Recipient's Unique Entity Identifier**

**7. Project Director or Principal Investigator**

Mr. Jeff Wenzel  
Bureau Chief  
jeff.wenzel@health.mo.gov  
573-526-4911

**8. Authorized Official**

Mrs. Marcia Mahaney  
Director, Division of Administration  
marcia.mahaney@health.mo.gov  
573-751-6014

**Federal Agency Information**

CDC Office of Financial Resources

**9. Awarding Agency Contact Information**

Lakita Reid  
wtl9@cdc.gov  
770-488-2742

**10. Program Official Contact Information**

Ms. Audra E Henry  
ate1@cdc.gov  
770.488.3758

**Federal Award Information**

**11. Award Number**

5 NU61TS000298-03-00

**12. Unique Federal Award Identification Number (FAIN)**

NU61TS000298

**13. Statutory Authority**

CERCLA SEC 104(I)(1)(E)(15)SARA42USC9604

**14. Federal Award Project Title**

ATSDR Partnership to Promote Localized Efforts to Reduce Environmental Exposure (APPLETREE)  
Program

**15. Assistance Listing Number**

93.240

**16. Assistance Listing Program Title**

State Capacity Building

**17. Award Action Type**

Non-Competing Continuation

**18. Is the Award R&D?**

No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b>	04/01/2022	- End Date	03/31/2023
<b>20. Total Amount of Federal Funds Obligated by this Action</b>			\$190,169.00
20a. Direct Cost Amount			\$162,339.00
20b. Indirect Cost Amount			\$27,830.00
<b>21. Authorized Carryover</b>			\$0.00
<b>22. Offset</b>			\$0.00
<b>23. Total Amount of Federal Funds Obligated this budget period</b>			\$0.00
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>			\$0.00
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>			\$190,169.00
<b>26. Project Period Start Date</b>	04/01/2020	- End Date	03/31/2023
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>			Not Available

**28. Authorized Treatment of Program Income**

ADDITIONAL COSTS

**29. Grants Management Officer - Signature**

Mrs. Rhonda Latimer  
Grants Management Officer

**30. Remarks**



DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Notice of Award

Award# 5 NU617S000298-03-00

FAIN# NU61TS000298

Federal Award Date: 02/16/2022

**Recipient Information**

**Recipient Name**  
HEALTH AND SENIOR SERVICES, MISSOURI  
DEPARTMENT OF  
930 Wildwood Dr  
PO BOX 570  
Jefferson City, MO 65109-5796

**Congressional District of Recipient**  
04

**Payment Account Number and Type**  
[REDACTED]

**Employer Identification Number (EIN)**  
[REDACTED]

**Data Universal Numbering System (DUNS)**  
878092600

**Recipient's Unique Entity Identifier**

**31. Assistance Type**  
Cooperative Agreement

**32. Type of Award**  
Other

**33. Approved Budget**  
(Excludes Direct Assistance)

I. Financial Assistance from the Federal Awarding Agency Only

II. Total project costs including grant funds and all other financial participation

a. Salaries and Wages	\$89,527.00
b. Fringe Benefits	\$56,948.00
c. Total Personnel Costs	\$146,475.00
d. Equipment	\$0.00
e. Supplies	\$668.00
f. Travel	\$5,804.00
g. Construction	\$0.00
h. Other	\$9,392.00
i. Contractual	\$0.00
<b>j. TOTAL DIRECT COSTS</b>	<b>\$162,339.00</b>
<b>k. INDIRECT COSTS</b>	<b>\$27,830.00</b>
<b>l. TOTAL APPROVED BUDGET</b>	<b>\$190,169.00</b>
<b>m. Federal Share</b>	<b>\$190,169.00</b>
<b>n. Non-Federal Share</b>	<b>\$0.00</b>

**34. Accounting Classification Codes**

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
0-939ZUPY	20NU61TS000298	TS	41.51	\$0.00	75-20-0944
0-9390FOT	20NU61TS000298C3	TS	41.51	\$0.00	75-2021-0944
1-939ZUPZ	20NU61TS000298	TS	41.51	\$0.00	75-21-0944
2-939ZUPZ	20NU61TS000298	TS	41.51	\$190,169.00	75-22-0944



**DEPARTMENT OF HEALTH AND HUMAN SERVICES** Notice of Award

Centers for Disease Control and Prevention

Award# 5 NU61TS000298-03-00

FAIN# NU61TS000298

Federal Award Date: 02/16/2022

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

HEALTH AND SENIOR SERVICES, MISSOURI DEPARTMENT OF

5 NU61TS000298-03-00

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1. Terms and Conditions

## ADDITIONAL TERMS AND CONDITIONS

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at, <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number **TS20-2001**, entitled **ATSDR's Partnership to Promote Local Efforts To Reduce Environmental Exposure**, and application dated November 15, 2021 as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of **\$380,338.00** is approved for the Year **03** budget period, which is **April 1, 2022** through **March 31, 2023**. All future year funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third-party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Available Funding:** The CDC is operating under a continuing resolution; as a result, the total available funding for the Fiscal Year (FY) 2022 budget period is contingent upon the enactment of applicable appropriation bill(s). Funding in the amount of **\$190,169.00** in Financial Assistance (FA) is awarded on this NoA. The remainder of the budget period Approved Funding amount is subject to the availability of funds.

**Financial Assistance Mechanism:** Cooperative Agreement

**Substantial Involvement by CDC:** This is a cooperative agreement and CDC will have substantial programmatic involvement after the award is made. Substantial involvement is in addition to all post-award monitoring, technical assistance, and performance reviews undertaken in the normal course of stewardship of federal funds.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO.

CDC program staff will assist, coordinate, or participate in carrying out effort under the award, and recipients agree to the responsibilities therein, as detailed in the NOFO:

- The TPO will lead a Technical Project Team (TPT) for each recipient, which will include representatives from the ATSDR Office of Capacity Development and Applied Prevention Science (OCDAPS), and ATSDR's Office of Community Health and Hazard Assessment (OCHHA);
- The TPT is responsible for providing technical assistance to the recipient; arranging for subject matter expertise as needed, assuring the planning implementation, and program improvement of all public health actions for each site;
- The TPT is responsible for approving each recipient's work plan;
- The TPT is also responsible for working with the recipients to improve their ability to assess and respond to environmental public health issues through the

- application of current science and sound public health practices;
- The TPT will also review the environmental health assessment reports and community involvement and health education and promotion plans and materials for technical/scientific accuracy, comprehensiveness, clarity, and adherence to ATSDR policy;
  - The TPT will monitor and evaluate the performance of recipients;
  - ATSDR regional staff are available to provide support and coordination for recipients, particularly for sites with strong community interest, or where liaison with EPA is specifically needed;
  - ATSDR will provide updated guidance on emerging contaminants and will maintain updated chemical-specific comparison values (CVs) based on the best available science;
  - ATSDR will maintain and make available the Public Health Assessment Site Tool (PHAST), the ATSDR Shower Model, other tools, and information websites;
  - ATSDR will also facilitate peer-to-peer information sharing through the maintenance of ATSDR's SharePoint site and Cooperative Agreement Listserv;
- and
- The TPO will facilitate the clearance of certified environmental health assessment reports (via the current NCEH/ATSDR Policy on Clearance of Information Products) as outlined under Strategy A2 in the 'Work Plan' section above.

**Expanded Authority:** The recipient is permitted the following expanded authority in the administration of the award.

- Carryover of unobligated balances from one budget period to a subsequent budget period. Unobligated funds may be used for purposes within the scope of the project as originally approved. Recipients will report use, or intended use, of unobligated funds in Section 12 "Remarks" of the annual Federal Financial Report. If the GMO determines that some or all of the unobligated funds are not necessary to complete the project, the GMO may restrict the recipient's authority to automatically carry over unobligated balances in the future, use the balance to reduce or offset CDC funding for a subsequent budget period, or use a combination of these actions.
- Pre-award costs up to (and including) 90 days before the beginning date of the initial budget period of a new or competing continuation award.

**Program Income:** Any program income generated under this grant or cooperative agreement will be used in accordance with the Addition alternative.

Addition alternative: Under this alternative, program income is added to the funds committed to the project/program and is used to further eligible project/program objectives.

Note: The disposition of program income must have written prior approval from the GMO.

## FUNDING RESTRICTIONS AND LIMITATIONS

**Notice of Funding Opportunity (NOFO) Restrictions:** Funding Restrictions – Recipients may not use funds to purchase furniture or equipment

**Indirect Costs:** Indirect costs are approved based on the negotiated indirect cost rate agreement dated 3/30/2021, which calculates indirect costs as follows, Fixed is approved at a rate of 19% of the base, which includes, Direct salaries and wages including all fringe benefits. The effective dates of this indirect cost rate are from 7/1/2021 to 6/30/22.

## REPORTING REQUIREMENTS

**Performance Progress and Monitoring:** Performance information collection initiated under this grant/cooperative agreement has been approved by the Office of Management and Budget under **OMB Number 0920-1132**, "Performance Progress and Monitoring Report", Expiration Date 10/31/2022. The components of the PPMR are available for download at: <https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html> .

**Recipient Evaluation and Performance Measurement Plan (EPMP), including Data Management Plan (DMP):** Recipient must elaborate on their initial applicant evaluation and performance measurement plan. This plan must be no more than twenty (20) pages; recipients must submit the plan 6-months into the award. HHS/CDC will review and approve the recipient's monitoring and evaluation plan to ensure that it is appropriate for the activities to be undertaken as part of the agreement, for compliance with the monitoring and evaluation guidance established by HHS/CDC, or other guidance otherwise applicable to this Agreement.

The **EPMP** should provide additional detail on the following: Performance Measurement

- Performance measures and targets;
- The frequency that performance data are to be collected;
- How performance data will be reported;
- How quality of performance data will be reported;
- How performance measurement will yield findings to demonstrate progress towards achieving NOFO goals;
- Dissemination channels and audiences; and
- Other information requested as determined by the CDC program.

Evaluation

- How types of evaluations are to be conducted;
- The frequency that evaluations will be conducted;
- How evaluation reports will be published on a publicly available website;
- How evaluation findings will be used to ensure continuous quality and program improvement;
- How evaluation will yield findings to demonstrate the value of the NOFO; and
- Dissemination channels and audiences.

The **DMP** should provide additional detail on the following:

Applications involving data collection must include a Data Management Plan (DMP) as part of their evaluation and performance measurement plan. The DMP is the recipient's assurance of the quality of the public health data through the data's lifecycle and plans to deposit data in a repository to preserve and to make the data accessible in a timely manner. See web link for additional information:

<https://www.cdc.gov/grants/additionalrequirements/ar-25.html>

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Lakita Reid, Grants Management Officer/Specialist  
Centers for Disease Control and Prevention  
BRANCH 3  
2939 Flowers Rd. South, Mailstop TV-2  
Atlanta, GA 30341  
Telephone: (770) 488-2742  
Email: [wtl9@cdc.gov](mailto:wtl9@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)  
AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator  
330 Independence Avenue, SW  
Cohen Building, Room 5527  
Washington, DC 20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))



### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the “**P Account**”. Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

### **CDC Staff Contacts**

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

#### **Grants Management Specialist:**

Lakita Reid, Grants Management Specialist  
Centers for Disease Control and Prevention  
2939 Flowers Rd. Mailstop TV-1  
Atlanta, GA 30341  
Telephone: 770-488-2742 Email: [wtl9@cdc.gov](mailto:wtl9@cdc.gov)

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

#### **Programmatic Contact:**

Audra Henry, Project Officer  
Centers for Disease Control and Prevention  
ATSDR, Office of Capacity Development and Applied Prevention Science  
4770 Buford Highway NE, Mailstop S102-1  
Chamblee, Georgia 30341  
Phone: 770-488-3758  
E-mail address: [ate1@cdc.gov](mailto:ate1@cdc.gov)

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA,

including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

**Grants Management Officer:**

Rhonda Latimer, Grants Management Officer  
Centers for Disease Control and Prevention  
2939 Flowers Rd Mailstop TV-1  
Atlanta, GA 30341  
Telephone: 770-488-1647  
Email: [jto1@cdc.gov](mailto:jto1@cdc.gov)